

### HSE Integrated Internal Audit Checklist

#### ISO 14001:2015 and ISO 45001:2018

The integrated internal audit checklist will ensure your implementation audits concisely compare your management system against the requirements of ISO 14001:2015 and ISO 45001:2018.		Question No	ISO 14001:2015	ISO 45001:2018	Audit Findings				Provide a reference to documented information to justify each audit finding.  Describe the nature of any minor or major nonconformity. Only failures to fulfill requirements should be reported as a nonconformity *.	A compliant but inefficient process or practice that seems weak, cumbersome, redundant or complex.  An OFI may be an improvement to the management system or something that could prevent future problems **.	Audit Score	0 Entries yet to be entered 0 Errors
Each audit question phrases the ISO 14001:2015 and 45001:2018 'shall' requirements as a question, in order to elicit either a 'yes' or 'no' response, that can be represented as an 'x'.					Conforming	Minor nonconformance *	Major nonconformance *	Opportunity to improve **				
4	Context of the Organization				Audit Question				Audit Evidence & Notes	Opportunities to Improve	Status %	Error Checking Summary
4.1	Organizational Context	1	E	HS	Has your organization determined external and internal issues relevant to its purpose and its strategic direction that affect its ability to achieve the intended result(s) of its HSE management system?	x					100	
4.1	Organizational Context	2	E	HS	Does your organization monitor and review information about these external and internal issues?	x					100	
4.2a	Relevant Interested Parties	3	E	HS	Does your organization determine the interested parties and workers that are relevant to the HSE management system?		x				75	
4.2b	Relevant Interested Parties	4	E	HS	Does your organization determine the requirements of these interested parties and workers that are relevant to the HSE management system, which may include regulatory requirements, local, regional or global environmental conditions that can affect, or be affected by, your organization?	x			x		100	
4.2c	Relevant Interested Parties	5	E	HS	Does your organization determine which of those requirements are to be managed as a compliance obligation or legal requirement in order to mitigate adverse risk or exploit beneficial opportunities that can be integrated into the operational planning of the HSE management system?			x			25	
4.3	Management System Scope	6	E	HS	Does your organization determine the boundaries and applicability of the HSE management system to establish its scope?	x					100	
4.3a	Management System Scope	7	E	HS	When determining this scope, has your organization considered the external and internal issues referred to in 4.1?			x			25	
4.3b	Management System Scope	8	E	HS	When determining this scope, has your organization considered the requirements of relevant interested parties referred to in 4.2?		x				75	
4.3c	Management System Scope	9	E	HS	When determining this scope, has your organization considered all relevant products, services and work-related activities, functions and physical boundaries to the HSE management system?	x					100	
4.3d	Management System Scope	10	E		When determining this scope, has your organization considered its activities, products, services and work-related activities and related product lifecycles, such as:  1. Raw material acquisition; 2. Manufacture; 3. Packaging/Transport/Delivery; 4. Use; 5. End of life treatment; 6. Final disposal.	x			x		100	
4.3e	Management System Scope	11	E		When determining this scope, has your organization considered its activities, products and services over which it has the authority and to exercise control and influence?		x				75	
4.3	Management System Scope	12	E	HS	Has your organization applied all the requirements of ISO 14001:2015 and ISO 45001:2018 if they are applicable within the determined scope of the HSE management system?			x			25	
4.3	Management System Scope	13	E	HS	When determining scope, has your organization considered and documented its ability and authority to control and influence factors relating to external and internal issues?	x					100	
4.3	Management System Scope	14	E	HS	Is the scope of your organization's HSE management system available and maintained as documented information and available to interested parties and workers? (See 7.5.1a)	x					100	
4.4	Management System Processes	15	E	HS	Has your organization established, implemented, maintained and continually improved its HSE management system, including the processes needed and their interactions, in accordance with the requirements of ISO 14001:2015 and ISO 45001:2018?	x					100	
4.4	Management System Processes	16	E		Has your organization has considered the knowledge and information obtained by 4.1 and 4.2 when implementing and operating it HSE management system?	x					100	